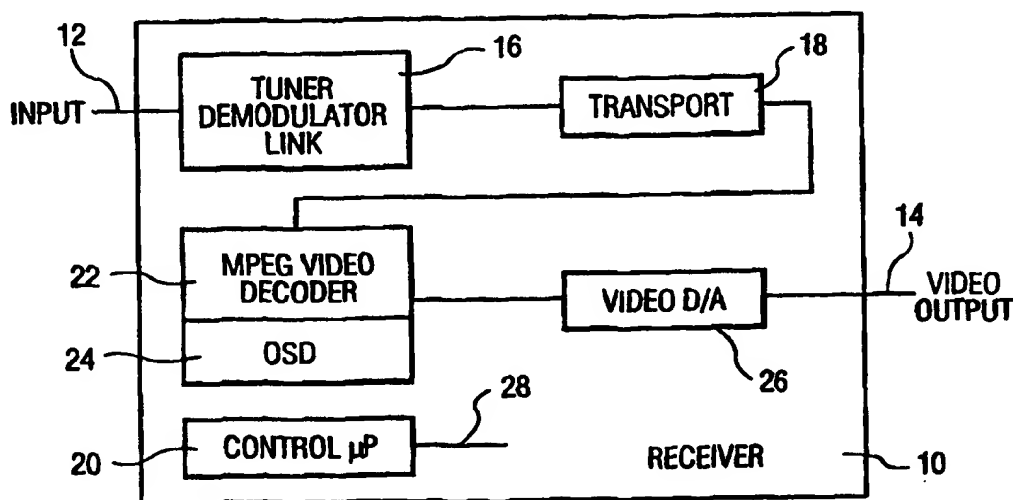




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>7</sup> : H04N 5/775, 5/445		A1	(11) International Publication Number: <b>WO 00/05881</b>
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(21) International Application Number: PCT/US99/16187 (22) International Filing Date: 15 July 1999 (15.07.99) (30) Priority Data: 60/093,699 22 July 1998 (22.07.98) US (71) Applicant (for all designated States except US): THOMSON CONSUMER ELECTRONICS, INC. [US/US]; 10330 North Meridian Street, Indianapolis, IN 46290 (US). (72) Inventor; and (75) Inventor/Applicant (for US only): MENGEL, William, Henry [US/US]; 846 W. 146th Street, Carmel, IN 46032 (US). (74) Agents: TRIPOLI, Joseph, S. et al.; Thomson Multimedia Licensing Incorporated, P.O. Box 5312, Princeton, NJ 08543 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  Published With international search report.	

(54) Title: USE OF ON-SCREEN DISPLAY (OSD) FOR SUPPLYING CONTROL AND AUXILIARY INFORMATION TO EXTERNAL DEVICES



(57) Abstract

Control or other information may be added to a video signal having no blanking intervals, as from a digital satellite receiver, a digital television converter/set-top box or the like, and decoded and utilized by a receiving external device, through utilization of the OSD capabilities of the receiver and external device. The information is insertable during non-blanking of the video and can be utilized to convey control information, signatures/watermarks, or the like from the receiver to the external device. Thus, the OSD can be used to deliver control or other information usually included in the blanking interval of an analog television signal by including the control or other information in non-blanking portions. A device external to the device providing the OSD capability will receive the data in the non-blanking region and process the data to obtain the required information.

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**USE OF ON-SCREEN DISPLAY (OSD) FOR SUPPLYING  
CONTROL AND AUXILIARY INFORMATION TO EXTERNAL DEVICES**

**CROSS-REFERENCE TO RELATED APPLICATIONS**

5        This is a non-provisional patent application based on co-pending  
provisional patent application serial number 60/093,699 filed July 22, 1998.

**BACKGROUND OF THE INVENTION**

**1.     Field of the Invention**

10        The present invention relates to digital video signal processing and, more  
particularly, to a scheme for providing control information in a digital data  
stream.

**2.     Description of the Related Art**

15        In analog television systems, certain auxiliary information such as closed  
captioning, extended data service (XDS), vertical interval test signal (VITS), and  
the like, is included in an analog signal in the form of data inserted into the  
vertical blanking interval (VBI). In digital television or multi-media systems, the  
traditional analog vertical and horizontal blanking areas or intervals and the  
information contained in those areas may not be transmitted as part of the  
picture information.

20        In the digital domain, auxiliary information such as that found in the  
blanking portions of an analog signal is typically transmitted and processed  
independently of the received video information. For example, a digital television  
signal or direct broadcast satellite (DBS) signal such as that provided by  
DirecTV™, provides a stream of digital data arranged in "packets", thus the term  
25        packetized data. The packetized data is received and processed by a digital  
signal receiver. Some packets provide video information, other packets provide  
audio information, and still other packets provide control and/or auxiliary  
information such as closed caption data. Additional information contained in  
each packet identifies the type of data included in each packet. The receiver  
30        processes the packets and generates video, audio, and auxiliary information  
signals.

The analog output signal may be provided in any format such as NTSC, PAL or other standard, and is produced by converting the digital signal to an analog signal and processing the analog signal in an encoder (NTSC, PAL, etc.) circuit. In the United States, the digital signal is converted into an NTSC standard analog signal and will be referred to hereafter as such with the understanding that such reference encompasses other types of encoded analog signals. The digital signal can also be output as an RGB (red, green, blue) signal or component Y, Pr, Pb or Y, Cr, Cb signals.

As the NTSC analog output signal is generated by the NTSC encoder circuit, vertical and horizontal blanking regions are produced in the signal. If required, auxiliary information received as packetized data in the digital signal may be inserted in the appropriate portions of the analog signal. For example, closed caption (CC) information is inserted into line 21 of field 1, as implemented in the U.S. NTSC system. The resulting NTSC signal may then be coupled to a conventional analog television (video) signal receiver and the closed captioning information may be decoded using a conventional closed caption decoder included in the analog television signal receiver. However, depending on the capabilities of the broadcast network and the digital receiver/decoder, it may not be possible to include some or any of the auxiliary information in the blanking intervals generated by the digital receiver. In addition, a video receiver having an RGB component or similar output may not have blanking intervals and as a result cannot include auxiliary information in the conventional manner.

In addition to CC, XDS, and other auxiliary information delivered in the blanking intervals of an analog video (television) signals, the analog video signals may also include control information such as what is known as the Copy Guard Management System (CGMS). CGMS has been developed to permit receiving devices to control the recording and copying of programming. The CGMS scheme provides two bits that are included in a program signal to indicate one of four different record/copy modes that is permitted for the program. For example, one mode prohibits copying. Another mode permits one copy to be made. Another mode permits unlimited copying. In analog television signals, the required copy control information can be provided to the receiver in the vertical

blanking interval. Other coding/data/information delivery schemes may also utilize blanking intervals. In receivers receiving digital signals or analog signals without blanking intervals or in systems lacking encoders capable of inserting the information in generated blanking intervals, providing required control information such as copy control information that can be detected and processed by other devices becomes problematic. Even if the signal includes blanking intervals, including additional control information such as copy control information may be difficult or impossible for systems that require adding a significant amount of control data to the already substantial amount of data that may already be included in blanking intervals.

Most current video or television reception devices, whether analog or digital, now include on-screen display (OSD) capability for generating messages that appear in the displayed video image and convey information, such as channel number, time, and the like to a user. The typical OSD generator can manipulate the color and/or palette of each pixel as well as control whether the pixel is on or off. A typical OSD also includes a microprocessor generating desired graphics or character display information, e.g. the microprocessor accesses a character generator ROM, and inserting the display information into the output signal at the appropriate time to produce the OSD display in the desired region of the display. To insert the OSD information at the correct time, the systems can use the video synchronization signals to track the current display location by determining the pixels per line (horizontal display position) and line intervals (vertical display position). When the line count and pixel count indicate that the display has reached a location where OSD information is to be inserted, the microprocessor controls a fast switch to couple the OSD information to the output signal.

What is needed in the art is a way for providing control and auxiliary information in systems producing signals lacking blanking intervals.

As well, what is needed in the art is a way for providing control and auxiliary information in systems unable to include such information in blanking intervals.

## SUMMARY OF THE INVENTION

The problem described above of providing control and/or auxiliary information in systems producing signals lacking blanking intervals or in systems unable to include control and/or auxiliary information in blanking intervals, is overcome with the present invention by using a resident OSD feature to insert control or other information such as signatures or watermarks during non-blanking portions of the signal, e.g. active display intervals.

An OSD system can be controlled e.g. via software, to insert information at any location in the display region through the video signal. Thus, the OSD system can be utilized to mirror or deliver the control and/or auxiliary information usually included in the blanking interval of an analog television signal into the non-blanking portions of the signal. As an example, for signals outputted as an RGB signal, the OSD by manipulating the pixels would insert a visual depiction of the data representing the desired control or auxiliary information during portions of the output signal corresponding to the desired non-blanking portion of the signal. Then, a device external to the device providing the OSD capability, e.g. a video display device coupled to the output of a digital receiver, a VCR, or other recording/playback device coupled to the output of a digital receiver, will receive the data representing the desired information in the non-blanking region and process the data to obtain the required control and/or auxiliary information. The obtained information may be acted upon or otherwise by the external device.

For certain systems, the OSD could repeat auxiliary or control information in the non-blanking regions to provide for decoding by systems in which decoding of information within the blanking area is not practical or desired. In other systems, the OSD could generate or duplicate the information typically found in blanking areas into non-blanked areas in a similar format. In addition, the chroma and luminance capabilities of the OSD can be utilized to further expand the data handling capabilities since the OSD is capable of determining the characteristics of individual pixels.

The information inserted by the OSD in the non-blanking regions may be inserted in a manner that will not produce an objectionable display. For example, the OSD can insert the information during an active display region that is in an

overscan region. If the amount of information is extremely limited or static, e.g. two bits of copy control information (CGMS), such auxiliary or control information could be inserted in the visible display region without causing objectionable display artifacts.

5 In accordance with an aspect of the present invention, a video receiver's built-in OSD can be utilized to generate or emulate control and/or auxiliary information of any other data or information traditionally delivered along with the video information in the blanking areas in a similar format in the non-blanked portions of the video signal for decoding by external devices when decoding of  
10 information within the blanking areas is not possible, practical or desired. Such can be implemented in software to control the OSD.

The information can be duplicated in the non-blanking portions of the video for decoding by external devices when decoding of information within the blanking areas is not practical or desired.

#### 15 BRIEF DESCRIPTION OF THE DRAWINGS

The above-mentioned and other features and advantages of this invention, and the manner of attaining them, will become more apparent and the invention will be better understood by reference to the following description of an embodiment of the invention taken in conjunction with the accompanying  
20 drawings, wherein:

Fig. 1 is a block diagram of a digital video receiver in accordance with the principles of the present invention; and

Fig. 2 is a block diagram of an external device or module couplable to the output of the digital video receiver of Fig. 1 in accordance with the principles of  
25 the present invention.

Corresponding reference characters indicate corresponding parts throughout the several views. The exemplification set out herein illustrates a preferred embodiment of the invention, and such exemplification is not to be construed as limiting the scope of the invention in any manner.

#### 30 DETAILED DESCRIPTION OF THE INVENTION

Referring now to the drawings and, more particularly to Fig. 1, there is shown a simplified, functional block diagram of a video receiver generally

designated 10 in accordance with the principles of the present invention.

Receiver 10 can be any type of video signal receiver such as a DBS receiver, a converter/set-top box or the like that is adapted to receive via input 12 a radio frequency (RF) digital signal. The source(s) of input signal may be via satellite, cable system, over-the-air, or otherwise and may or may not contain control information, signature information, XDS, CC, or the like.

Receiver 10 has RF tuner/demodulator/link 16 that receives the digital signal via input 12 and which contains circuitry for such reception as well as for the selection of an input signal or channel from the incoming digital signal, digital demodulation of the signal, Forward Error Correction (FEC) decoding, and the outputting of the decoded data. Tuner/demodulator/link 16 is in communication with transport portion 18 that provides a first level of decoding of the error corrected data received from tuner/demodulator 16. Essentially, such first level decoding comprises routing the received data/information to the appropriate destination device within receiver 10 for further processing, e.g. audio data/information to the audio decoder, and video data/information to the video decoder and so forth. In the case of video, transport 18 forwards the video information to video decoder 22, typically an MPEG video decoder. MPEG video decoder 22 is a real-time video decompression processor that decodes and processes the MPEG compressed data. MPEG video decoder 22 is in communication with microprocessor or controller 20 and any internal or external memory (not shown) as may be necessary to provide the necessary decoding and processing functions. Also, microprocessor 20 is in communication with RAM and/or ROM. Microprocessor 20 is coupled to the other shown modules or devices within receiver 10 as well as those not shown via link 28 as necessary to receive and process the incoming audio and video signals.

As shown in Fig. 1, MPEG video decoder 22 includes an integral OSD generator 24, however, it should be understood that the OSD generator may be separate from the MPEG video decoder. OSD generator 24 provides bit-map capabilities to manipulate color and palette of each pixel, as well as whether the pixel is on or off, for overlaying same on any portion of the display, including blanking, while video decoding proceeds simultaneously. Palette registers may



be selected and loaded with any color or with a value to indicate transparent pixels.

In accordance with an aspect of the present invention, microprocessor 20 supplies appropriate control, data, signature, and similar information (collectively control information) as is desired per appropriate software to OSD 24 for inclusion as OSD data/information into the video signal. Any data/information received by receiver 10, such as CGMS information, may be inserted into the video signal as an OSD signal during non-blanking intervals. Data or information not received by receiver 10 through non-inclusion of same in the incoming signal or unable to be processed by receiver 10, may be inserted into the video signal as internally generated (emulated) OSD data/information. Of course, any data/information delivered as an OSD signal will be displayed on the display device (external device). However, while such information may be displayed, it may not necessarily be viewable if such information is in an overscan area, or contained in various individual pixels throughout a display portion or the entire display area which would not be readily discerned by the eye.

MPEG video decoder 22 is in communication with video digital to analog (D/A) converter 26 that converts the digital video signal, with or without OSD data/information including OSD coded data/information in accordance with the present principles, into an analog video signal without synchronization signals. Synchronization signals are generated within receiver 10 and outputted as a separate signal from the video signal. Video D/A converter 26 contains three digital-to-analog converters to convert the Y, Cr, and Cb video data signals from MPEG video decoder 22 into Y, Pr, and Pb video signals. If necessary, the Y, Pr, and Pb signals may be matrixed as is known in the art to provide an RGB (video) output signal. In this case, the video signals would also include any OSD data/information. Video D/A converter 26 has output 14 that provides the analog video representation of the digital video information received by receiver 10 and any OSD data/information including any coded, emulated, or imbedded data/information.

Thus, the OSD of a video receiver is utilized to transmit data or information to an external device that separately processes the OSD data or

information during real-time video processing which can then be used by the external device for device control or otherwise. This is accomplished, at least in part, by appropriate software for the video receiver.

With reference now to Fig. 2, there is shown external device or module 30 that can be incorporated into a VCR or other recording/playback device, television/monitor, or the like that receives and utilizes video signals and of course, is separate from receiver 10. External device 30 includes video input 32 that feeds into detector 38. In this case, detector 38 is adapted to receive the Y, Pr, and Pb or RGB video signals and provide output signals that correspond to the chroma and luminance values of each pixel, in essence processing the video signals including the OSD data/information included with those signals. As well, external device 30 includes sync input 34 which is provided by receiver 10 as a separate signal. Video synchronization signals are used for determining the location of pixels on the video signal. Counter and/or timing circuits 40 are utilized to identify any position on the display (or bit-mapped area constituting what would be a display) to which a characteristic or value has been assigned, such as palette, color, on/off, or the like. Thus, any individual pixel, line of pixels, group of pixels, pattern of pixels, or the like may be identified by its characteristics as given to it by OSD 24 of receiver 10. Microprocessor 44, typically in communication with RAM and ROM, may be in communication with detector 38 and counter/timer 40 and under direction of appropriate software to ascertain, understand, and process the code, data, or information contained in the OSD data/information and/or to add intelligence to the processing.

Detector 38, microprocessor 44, and counter/timer 40 are in communication with output gate 42 that provides gating of the detector output signal (e.g. the chroma and luminance value present at a location or group of locations) with the counter/timer output as appropriate to supply a signal to output 36, typically a logic or voltage level signal. The output signals which correspond to the OSD data/information can then be utilized for any purpose. One purpose may be to display a watermark or message that may be pre-stored, for example, in the ROM of a VCR or video display device that incorporates external device 30, or through controlling the characteristics of various pixels at

some point or points during the video. Another purpose may be to use the signal as a means of control of yet another device or scheme that could, for example, limit or prohibit the copying, recording, or other function of the other device. As well, broadcasters at the signal source may insert data/information into the non  
5 blanked portions of the video signal that can be detected and utilized by the present invention.

While this invention has been described as having a preferred design, the present invention can be further modified within the spirit and scope of this disclosure. This application is therefore intended to cover any variations, uses,  
10 of adaptations of the invention using its general principles. Further, this application is intended to cover such departures from the present disclosure as come within known or customary practice in the art to which this invention pertains and which fall within the limits of the appended claims.

**WHAT IS CLAIMED IS:**

1. In a video receiver having a controller in communication with a digital decoder and an OSD, the video receiver adapted to receive a digital data stream and output an analog video data stream derived from the digital data stream, a  
5 method for communicating information from the video receiver to an external device during active display portions of the analog data stream comprising the steps of:

providing the information to the OSD to generate OSD information;

inserting the OSD information into the analog video data stream during

10 non-blanking portions thereof;

providing a detector in the external device, the detector adapted to receive and process the OSD information; and

coupling the output of the video receiver to the detector.

2. The method for communicating information of claim 1, wherein the  
15 information is contained in the digital data stream.

3. The method for communicating information of claim 1, wherein the OSD information is displayable in an overscan region.

4. A method of communicating control data from a video receiver to an external device, the video receiver adapted to receive a digital video data signal and output an analog video data signal derived from the digital video data signal,  
20 the method comprising the steps of:

providing the control data to an OSD generator of the video receiver;

formatting the control data as OSD data;

inserting the OSD data into the analog video data signal;

25 providing the external device with an OSD data detector;

detecting the OSD data to receive the control data; and

utilizing the control data.

5. The method of claim 4, wherein the control data is part of the digital data signal.

30 6. The method of claim 4, wherein the control data is determined by the video receiver.

7. The method of claim 4, wherein the OSD data is inserted into the analog video data signal during non-blanking portions.

8. In a video receiver adapted to receive and process an incoming digital video signal and output an analog video signal derived from the digital video signal, the video receiver having an OSD generator and a digital video decoder coupled to a controller, a method for communicating non-video data from the video receiver to an analog device comprising the steps of:

providing the non-video data to the OSD generator;

encoding the non-video data by the OSD generator;

inserting the non-video data into the analog video signal as OSD encoded data;

providing the analog device with an OSD encoded data detector; and  
detecting the OSD encoded data.

9. The method of claim 8, wherein the digital video signal is an MPEG encoded signal and the digital video decoder is an MPEG video decoder.

10. The method of claim 8, wherein the non-video data is received as part of the digital signal.

11. The method of claim 8, wherein the video receiver provides a sync signal to the analog device.

1/1

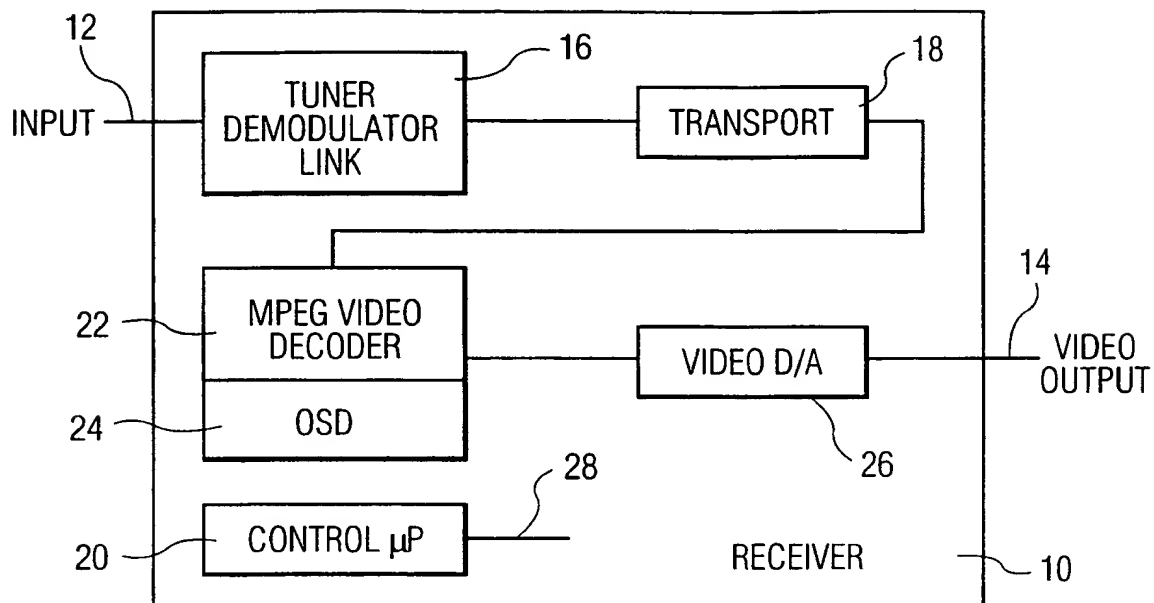


FIG. 1

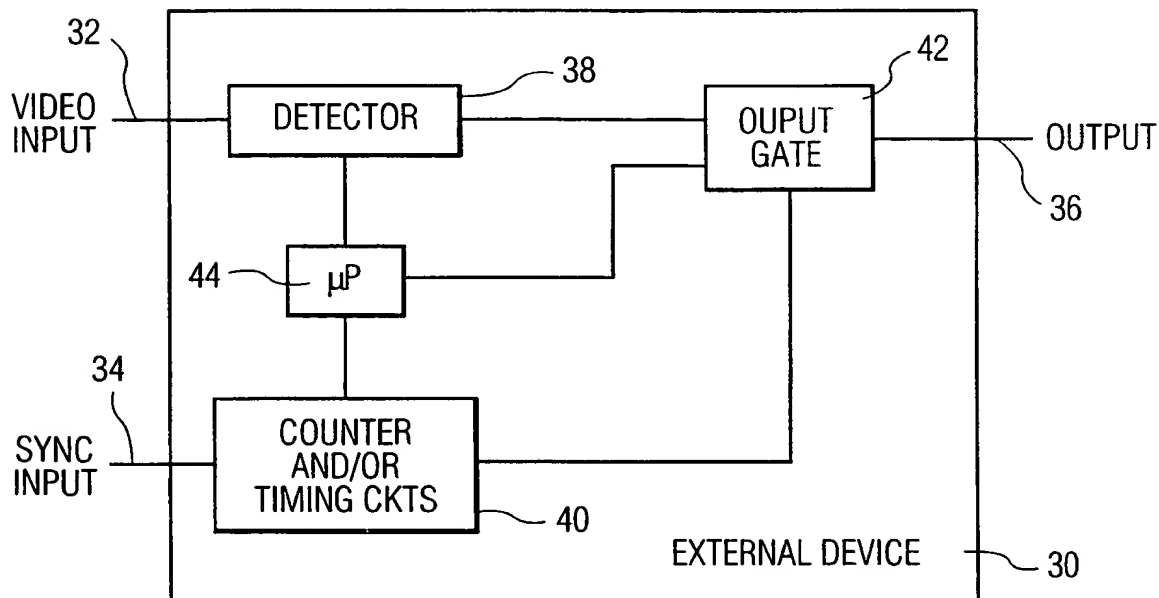


FIG. 2

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/16187

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04N5/775 H04N5/445

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 28913 A (BLATTER HAROLD ; THOMSON CONSUMER ELECTRONICS (US)) 2 July 1998 (1998-07-02)	1-3
Y	page 3 -page 11	4-7
Y	EP 0 849 958 A (HITACHI LTD) 24 June 1998 (1998-06-24) column 4, line 18 -column 12, line 40	4-7
A	EP 0 843 468 A (SONY CORP) 20 May 1998 (1998-05-20) column 2, line 46 -column 9, line 2	1-11

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

1 October 1999

Date of mailing of the international search report

11/10/1999

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/16187

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9828913 A	02-07-1998	AU 5698198 A EP 0945011 A	17-07-1998 29-09-1999
EP 0849958 A	24-06-1998	JP 10178614 A JP 11055315 A CN 1195253 A	30-06-1998 26-02-1999 07-10-1998
EP 0843468 A	20-05-1998	JP 10150611 A	02-06-1998



From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

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Applicant's or agent's file reference  
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15/07/1999

Priority date (day/month/year)  
22/07/1998

Applicant  
THOMSON CONSUMER ELECTRONICS, INC. et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

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# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT



(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>RCA89130</b>	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. <b>PCT/US99/16187</b>	International filing date (day/month/year) <b>15/07/1999</b>	Priority date (day/month/year) <b>22/07/1998</b>
International Patent Classification (IPC) or national classification and IPC <b>H04N5/775</b>		
Applicant <b>THOMSON CONSUMER ELECTRONICS, INC. et al.</b>		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 5 sheets, including this cover sheet.  
  
☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  
  
 These annexes consist of a total of 1 sheet~~s~~.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand  <b>16/02/2000</b>	Date of completion of this report  <b>31.08.2000</b>
Name and mailing address of the international preliminary examining authority:   <b>European Patent Office</b> <b>D-80298 Munich</b> <b>Tel. +49 89 2399 - 0 Tx: 523656 epmu d</b> <b>Fax: +49 89 2399 - 4465</b>	Authorized officer  <b>Brod, R</b>  <b>Telephone No. +49 89 2399 8962</b> 

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US99/16187

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1-9 as originally filed

**Claims, No.:**

1-8 as received on 25/04/2000 with letter of 20/04/2000

**Drawings, sheets:**

1/1 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US99/16187

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes:	Claims	1-8
	No:	Claims	
Inventive step (IS)	Yes:	Claims	1-8
	No:	Claims	
Industrial applicability (IA)	Yes:	Claims	1-8
	No:	Claims	

**2. Citations and explanations**

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/US99/16187

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**Claim 1:**

The application relates to digital video signal processing and to a scheme for providing control information in a digital data stream.

Closest prior art is D1: WO 98 28913 which discloses an apparatus for forming a superpacket of digital data for an external device from received transport packets. This apparatus receives a signal including compressed digital video and control data. Copy control data is derived from the control data and is attached as a header to the digital data transport packet as shown in fig. 2B. The digital transport superpacket formed by the apparatus is then provided to an external device, i.e. a recording device (200). The recording device is thus able to readily detect copy control information for the received signal from the attached header. Further D1 mentions the generation of OSD data displayed on (99) being generated by either the video receiver or the recorder.

Starting from this prior art the problem arises that D1 receives a digital signal and provides a reformed digital signal to the external device. The control information is attached in digital form as a header to the digital transport packet.

The solution provided by the subject-matter of claim 1 obtains an analog signal by receiving a digital signal, converting the video portion of the signal to an analog signal, i.e. OSD, formatted non-video signal therein for transmitting to an external device. Further the non video signal is formatted as OSD video data and the reformatted non-video data is inserted into the analog video signal.

The above solution is neither known nor obvious from the documents cited in the ISR: D2: EP-A-0 849 958 similarly to D1 discloses for MPEG packet transmission including non-video data in the form of Program Map Tables and an OSD thereof, but is silent to the specific OSD data processing.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/US99/16187

D3: EP-A-0 843 468 discloses a method of communicating non-video EPG (Electronic Program Guide) data from a tv receiver (21) to an external VTR (22) device and mentions in fig. 3 an OSD but is silent to the specific OSD data processing.

Dependent claims 2 to 7 disclose new and inventive embodiments (Art. 33(2) and (3) PCT).

**Re Item VII**

**Certain defects in the international application**

The description is not adapted to the new claims and the prior art is not acknowledged. In fig. 2, the term "output gate (42)" has a clerical error.

**WHAT IS CLAIMED IS:**

1. In a video receiver having a controller in communication with a digital decoder and an OSD, the video receiver adapted to receive a digital data stream and output an analog video data stream derived from the digital data stream, a  
5 method for communicating information from the video receiver to an external device during active display portions of the analog data stream comprising the steps of:

providing the information to the OSD to generate OSD information;

inserting the OSD information into the analog video data stream during

10 non-blanking portions thereof;

providing a detector in the external device, the detector adapted to receive and process the OSD information; and

coupling the output of the video receiver to the detector.

2. The method for communicating information of claim 1, wherein the  
15 information is contained in the digital data stream.

3. The method for communicating information of claim 1, wherein the OSD information is displayable in an overscan region.

4. A method of communicating control data from a video receiver to an external device, the video receiver adapted to receive a digital video data signal  
20 and output an analog video data signal derived from the digital video data signal, the method comprising the steps of:

providing the control data to an OSD generator of the video receiver;

formatting the control data as OSD data;

inserting the OSD data into the analog video data signal;

25 providing the external device with an OSD data detector;

detecting the OSD data to receive the control data; and

utilizing the control data.

5. The method of claim 4, wherein the control data is part of the digital data signal.

30 6. The method of claim 4, wherein the control data is determined by the video receiver.

7. The method of claim 4, wherein the OSD data is inserted into the analog video data signal during non-blanking portions.

8. In a video receiver adapted to receive and process an incoming digital video signal and output an analog video signal derived from the digital video signal, the video receiver having an OSD generator and a digital video decoder  
5 coupled to a controller, a method for communicating non-video data from the video receiver to an analog device comprising the steps of:

providing the non-video data to the OSD generator;

encoding the non-video data by the OSD generator;

10 inserting the non-video data into the analog video signal as OSD encoded data;

providing the analog device with an OSD encoded data detector; and

detecting the OSD encoded data.

9. The method of claim 8, wherein the digital video signal is an MPEG

15 encoded signal and the digital video decoder is an MPEG video decoder.

10. The method of claim 8, wherein the non-video data is received as part of the digital signal.

11. The method of claim 8, wherein the video receiver provides a sync signal to the analog device.



## TENT COOPERATION TRE

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

TRIPOLI, Joseph, S.  
Thomson Multimedia Licensing  
Incorporated  
P.O. Box 5312  
Princeton, NJ 08543-5312  
ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year)

21 August 2000 (21.08.00)

Applicant's or agent's file reference

RCA89130

## IMPORTANT NOTIFICATION

International application No.

PCT/US99/16187

International filing date (day/month/year)

15 July 1999 (15.07.99)

## 1. The following indications appeared on record concerning:



the applicant



the inventor



the agent



the common representative

Name and Address

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10330 North Meridian Street  
Indianapolis, IN 46290-1024  
United States of America

State of Nationality

US

State of Residence

US

Telephone No.

Facsimile No.

Teleprinter No.

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:



the person



the name



the address



the nationality



the residence

Name and Address

THOMSON LICENSING S.A.  
46, quai A. Le Galo  
F-92648 Boulogne Cedex  
France

State of Nationality

FR

State of Residence

FR

Telephone No.

Facsimile No.

Teleprinter No.

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:



the receiving Office



the designated Offices concerned



the International Searching Authority



the elected Offices concerned



the International Preliminary Examining Authority



other:

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorized officer

Eugénia Santos

Telephone No.: (41-22) 338.83.38